

# SNV calling and the study of genetic variation in ecology and evolution

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CNRS Workshop NGS 2023



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- SNV calling workflow
  - common software and file formats
  - reference genome
  - short-read alignment
  - SNV calling
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- Applications in ecology and evolution

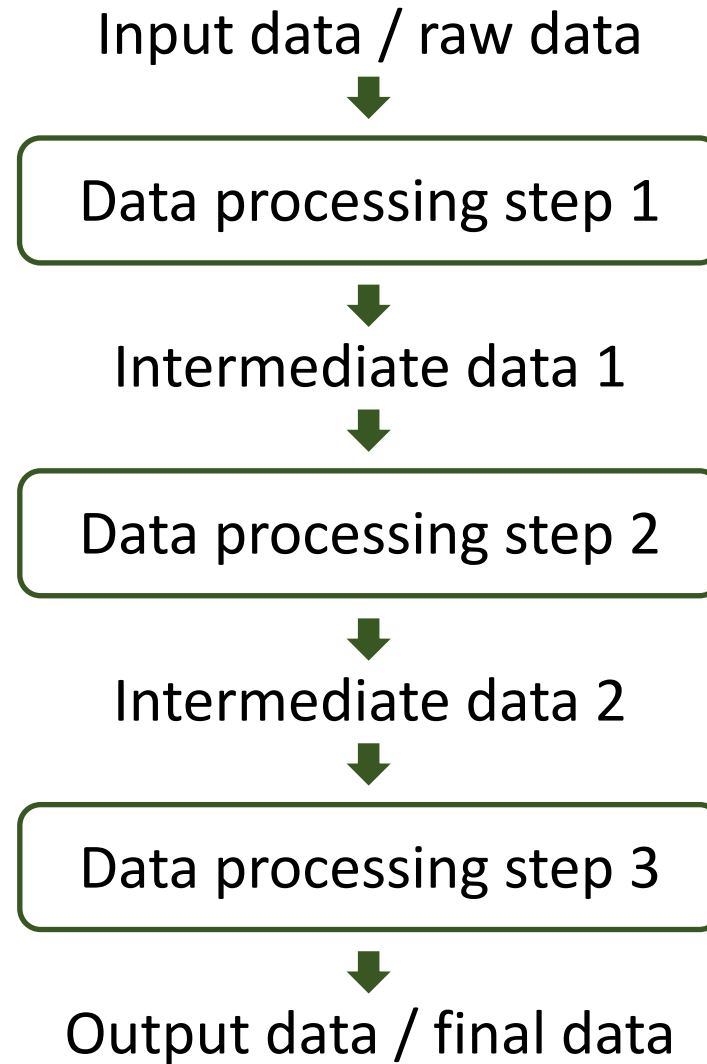
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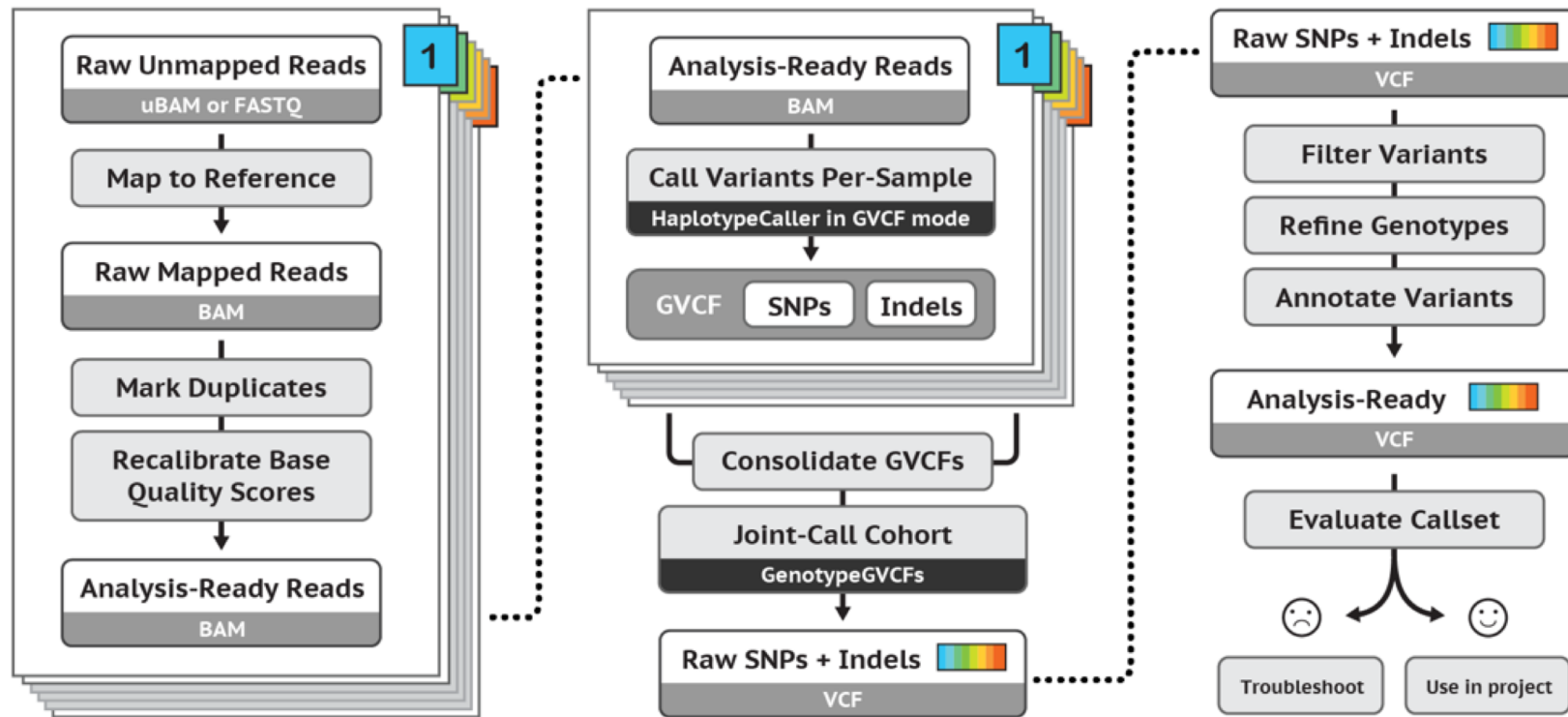
# What is a workflow?

---



# SNV calling workflow

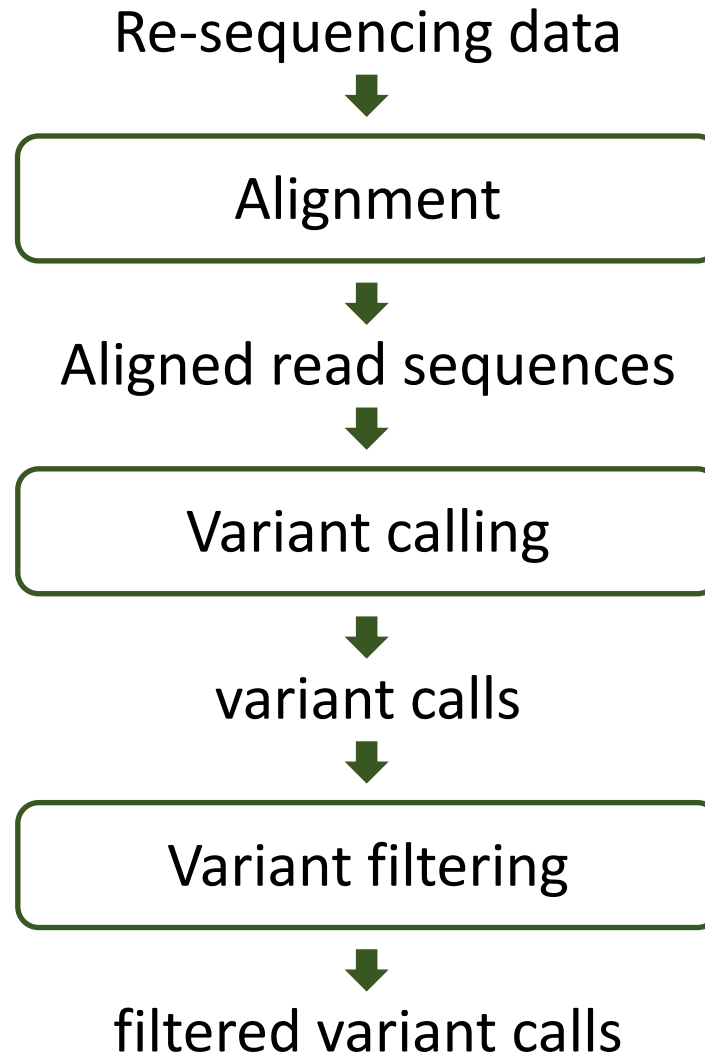
<https://gatk.broadinstitute.org>



*Best Practices for SNP and Indel discovery in germline DNA  
- leveraging groundbreaking methods for combined power  
and scalability.*

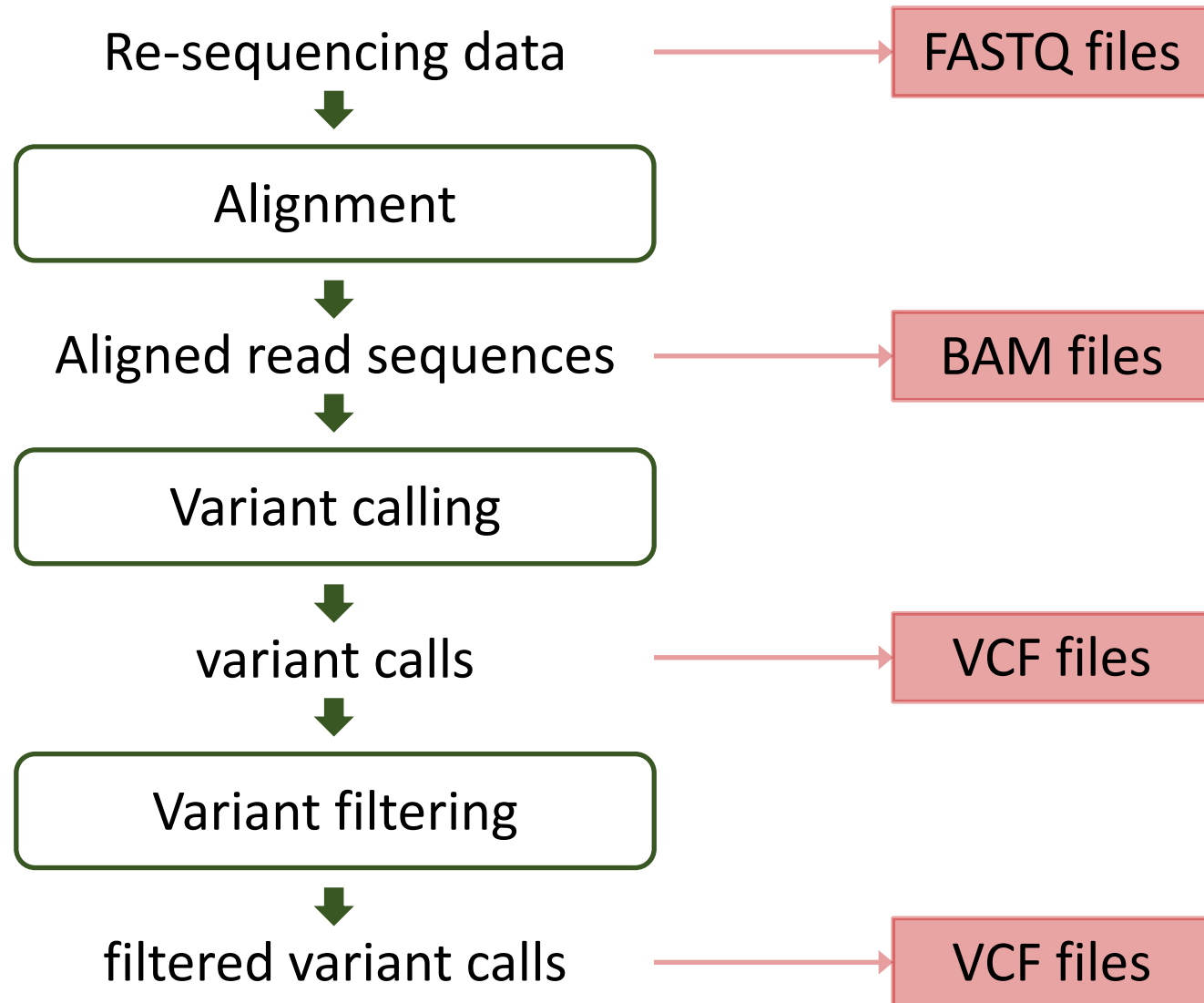
# Basic workflow, one example

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# Basic workflow, one example

---



# Workflow conventions

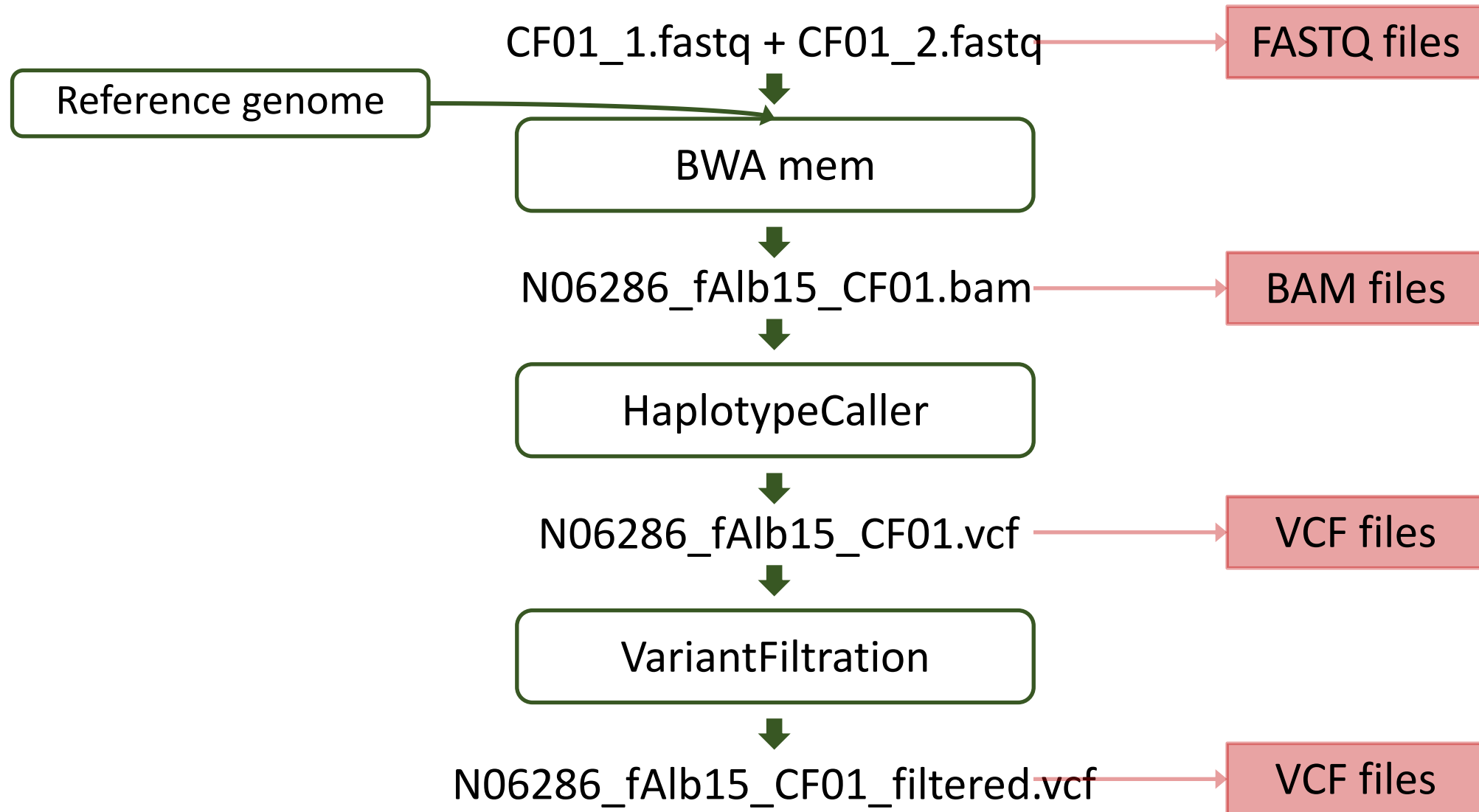
---

- Create a new output file in each processing step
  - Don't overwrite the input file!
- Use informative file names
  - include information about the sample(s) and eventual other input data
  - include information about the processing step
  - Use the correct file extensions (.fastq, .bam, .vcf, ...)
- Allocate appropriate computing resources



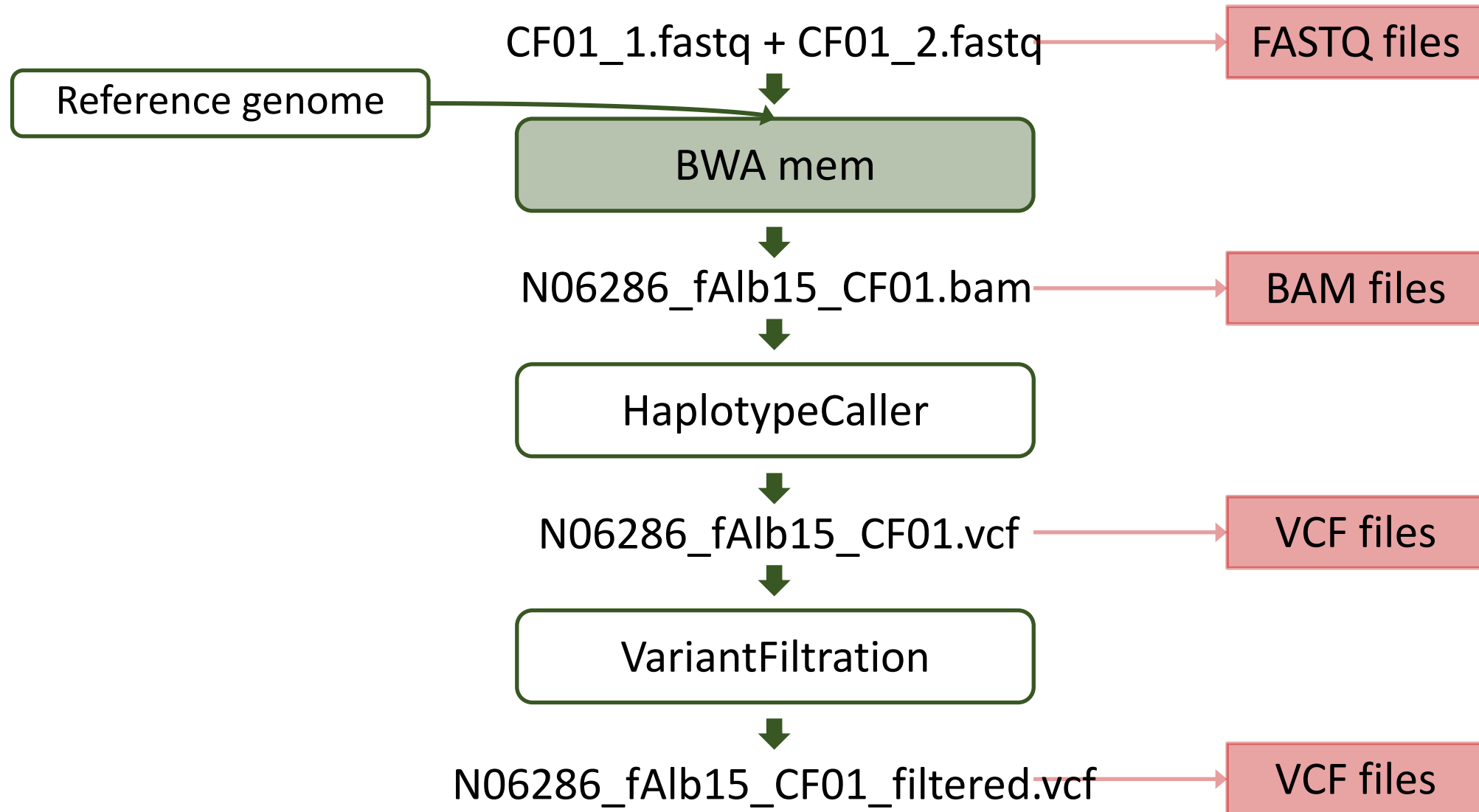
# Basic variant calling workflow, one sample

---

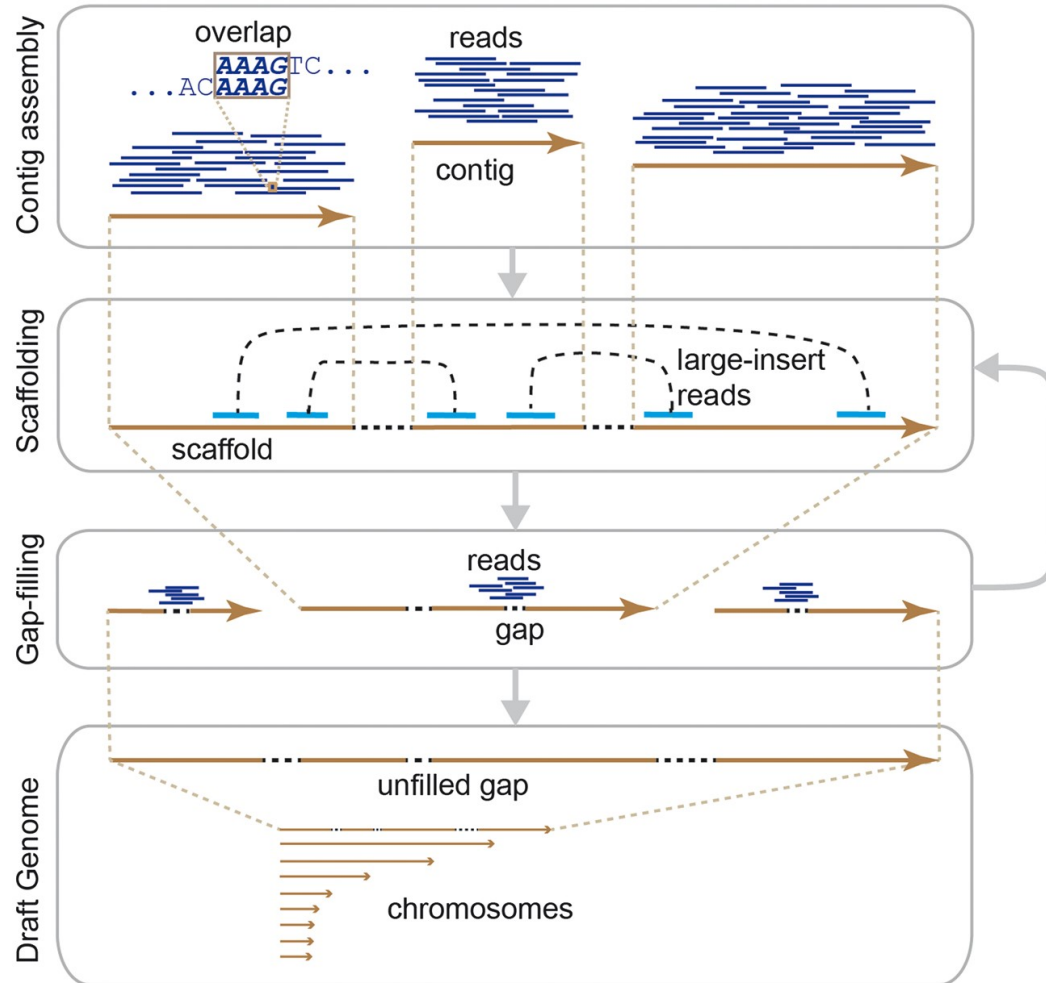


# Basic variant calling workflow, one sample

---

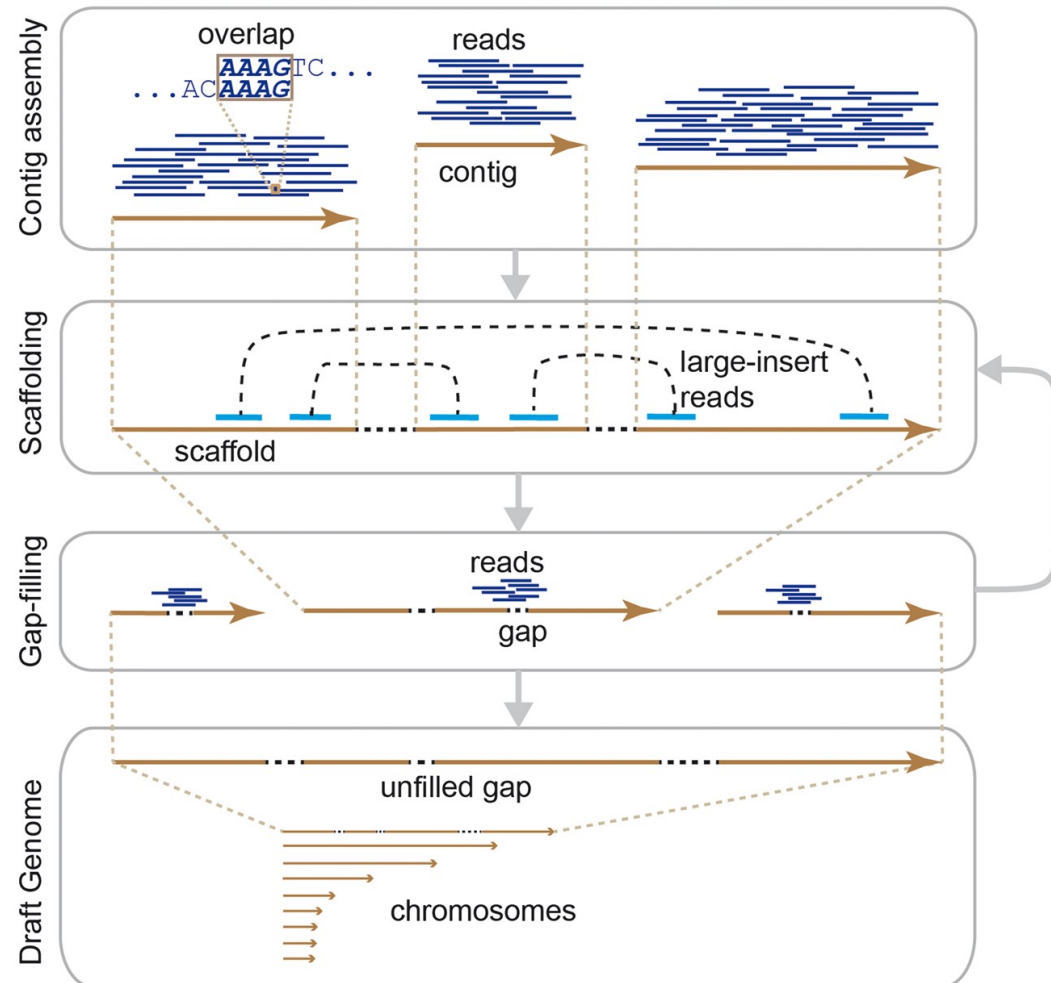


# Reference genome



- The reference genome represents a **template genome sequence** of a species, typically the target species or a closely related species
- The reference genome covers those parts of the genome sequence that have been assembled and usually **includes several gaps** and may contain **misassembled regions**
- The reference genome can be assembled at the **scaffold-level** or at the **chromosome-level**

# Reference genome – alignment quality



- The **quality and contiguity of reference genome assemblies** influence the alignment quality
- Alignment of reads to a **divergent reference genome** influences the alignment quality
- The proportion of **repetitive DNA sequences** in the genome influences the alignment quality
- **Structural re-arrangements** among the genomes of sampled individuals and the reference genome influence the alignment quality

# Alignment

---

ACGTTTGC GTCCCGCCCGATNNNNN-----CGTAGTCGGGGTATGTAGNNGATTCTCTCAGT  
TCGGCGTATGTGGCGGATTCTCT

# Alignment

---

```
ACGTTTGCGTCCCGCCCGATNNNNN-----CGTAGTCGGGGTATGTAGNNGATTCTCTCAGT
                                     TCGGCGTATGTGGCGGATTCTCT
ATGTCTCG---TGTAGATCCG
```

# Alignment

---

```
ACGTTTGC GTCCCGCCCGATNNNNN-----CGTAGTCGGGGTATGTAGNNGATTCTCTCAGT
                                     TCGGCGTATGTGGCGGATTCTCT
ATGTCTCG---TG TAGATCCG
```

Can we trust the alignment of the second read?

# Alignment – Burrows-Wheeler Aligner (BWA)

---

- BWA is a software package for mapping low-divergent short-read sequences against a large reference genome
  - <https://bio-bwa.sourceforge.net/>
- BWA-MEM is the latest version and supports split alignment and is generally recommended for high-quality read sequences
- The output from read mapping is a SAM format
- The BAM file is a binary representation of the SAM file



# Alignment – Burrows-Wheeler Aligner (BWA)

---

- `bwa mem -t 4 -M {input.reference} {input_1.fastq} {input_2.fastq} > {output.sam}`

# Sequence Alignment/Map (SAM) file

---

## HEADER SECTION

```
@HD VN:1.6SO:coordinate
@SQ SN:2 LN:243199373
@PG ID:bwaPN:bwaVN:0.7.17-r1188 CL:bwa mem -t 1 human_g1k_v37_chr2.fasta HG00097_1.fq HG00097_2.fq
@PG ID:samtools PN:samtools PP:bwaVN:1.10 CL:samtools sort
@PG ID:samtools.1 PN:samtools PP:samtools VN:1.10 CL:samtools view -H HG00097.bam
```

## ALIGNMENT SECTION

```
Read_001    99    2    3843448    0    101M    =    3843625    278    TTTGGTTCATATGAACTTT    0F<BFB<FFFBFBBBBFBFB
Read_001    147   2    3843625    0    101M    =    3843448   -278   TTATTTCATTGAGCAGTGGT    FBBI7IIFIB<BBBB<BBFF
Read_002    163   2    4210055    0    101M    =    4210377    423   TGGTACCAAAACAGAGATAT    0IIFBFFFIIIFFIFFBFBF
Read_003    99    2    4210066    0    101M    =    4210317    352   CAGAGATATAGATCAATGGA    0IIFFFIFFFFIFIFIIIIIF
```



Read name  
(usually more  
complicated)



Reference sequence name



Start position



Sequence



Quality

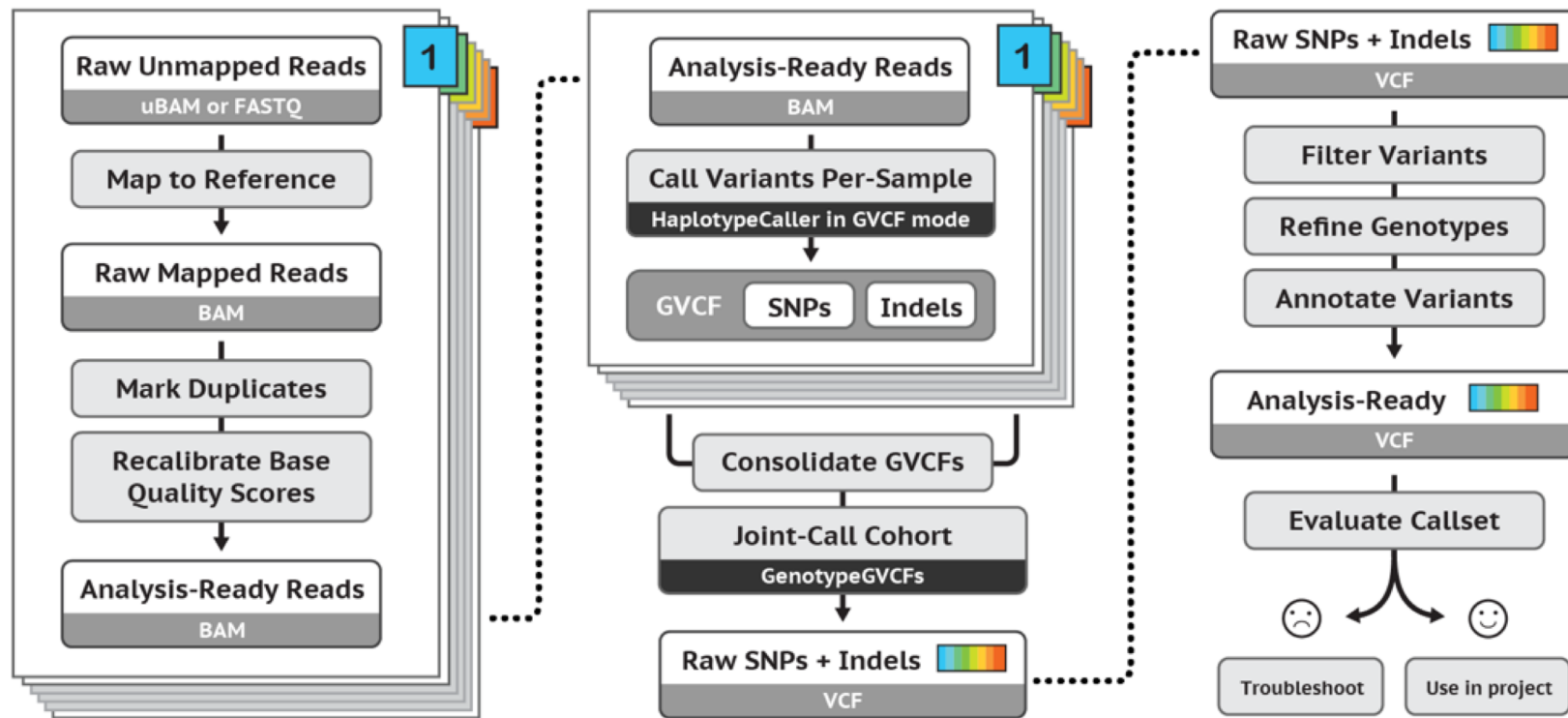
# Alignment – Burrows-Wheeler Aligner (BWA)

---

- `bwa mem -t 4 -M {input.reference} {input_1.fastq} {input_2.fastq} > {output.sam}`
- `samtools view -bhS {input.sam} -o {output.bam}`
- `samtools sort -o {output.sorted.bam} {input.bam}`
- `samtools index {input.sorted.bam}`

# SNV calling workflow

<https://gatk.broadinstitute.org>



***Best Practices for SNP and Indel discovery in germline DNA  
- leveraging groundbreaking methods for combined power  
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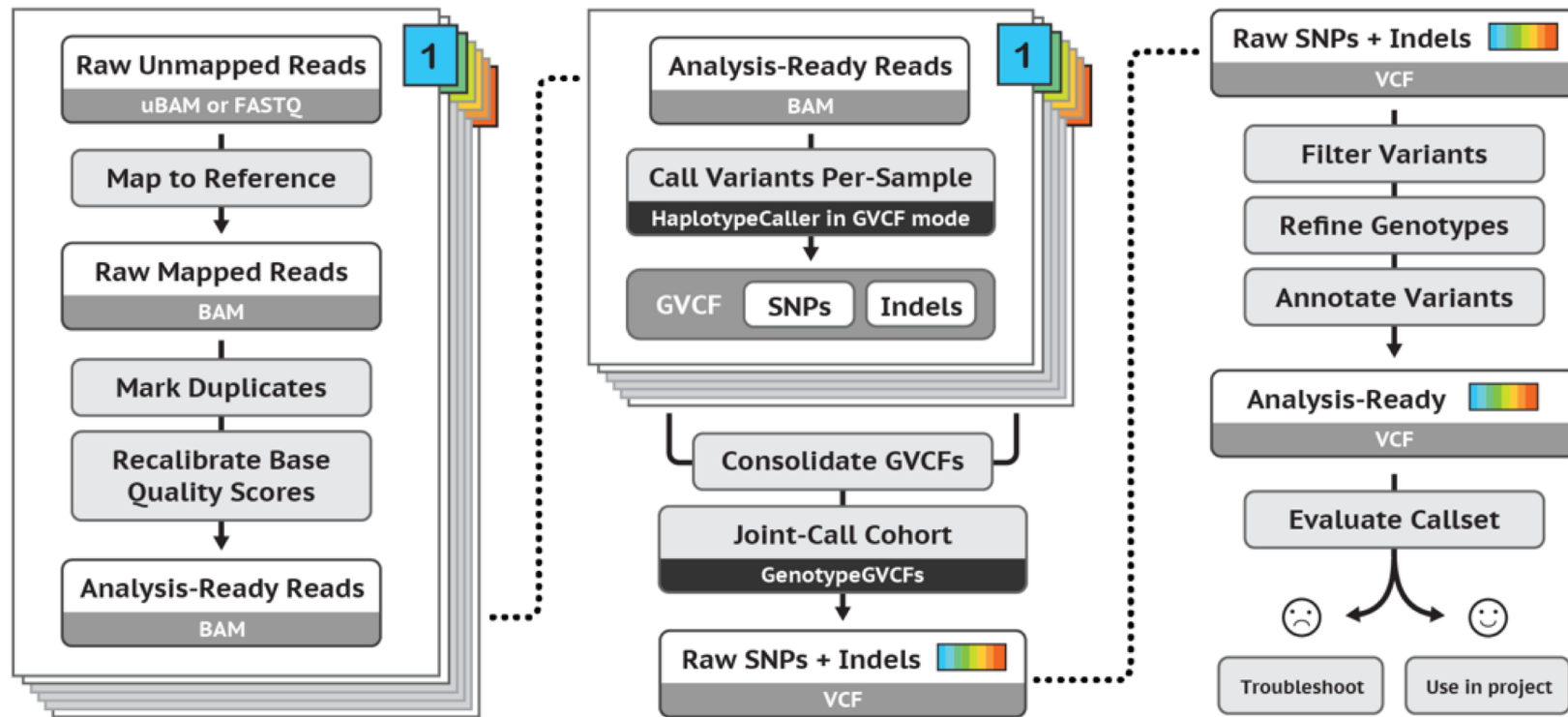
# Alignment – Burrows-Wheeler Aligner (BWA)

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- `bwa mem -t 4 -M {input.reference} {input_1.fastq} {input_2.fastq} > {output.sam}`
- `samtools view -bhS {input.sam} -o {output.bam}`
- `samtools sort -o {output.sorted.bam} {input.bam}`
- `samtools index {input.sorted.bam}`
- `java -jar $PICARD MarkDuplicates METRICS_FILE={metrics.txt}  
INPUT={input.sorted.bam} OUTPUT={output.sorted.markedDup.bam}`
- `samtools view -h -f 0x2 -F 0x4 -F 0x8 -F 0x100  
{input.sorted.markedDup.bam} > {output.filtered.sam}`

# SNV calling workflow

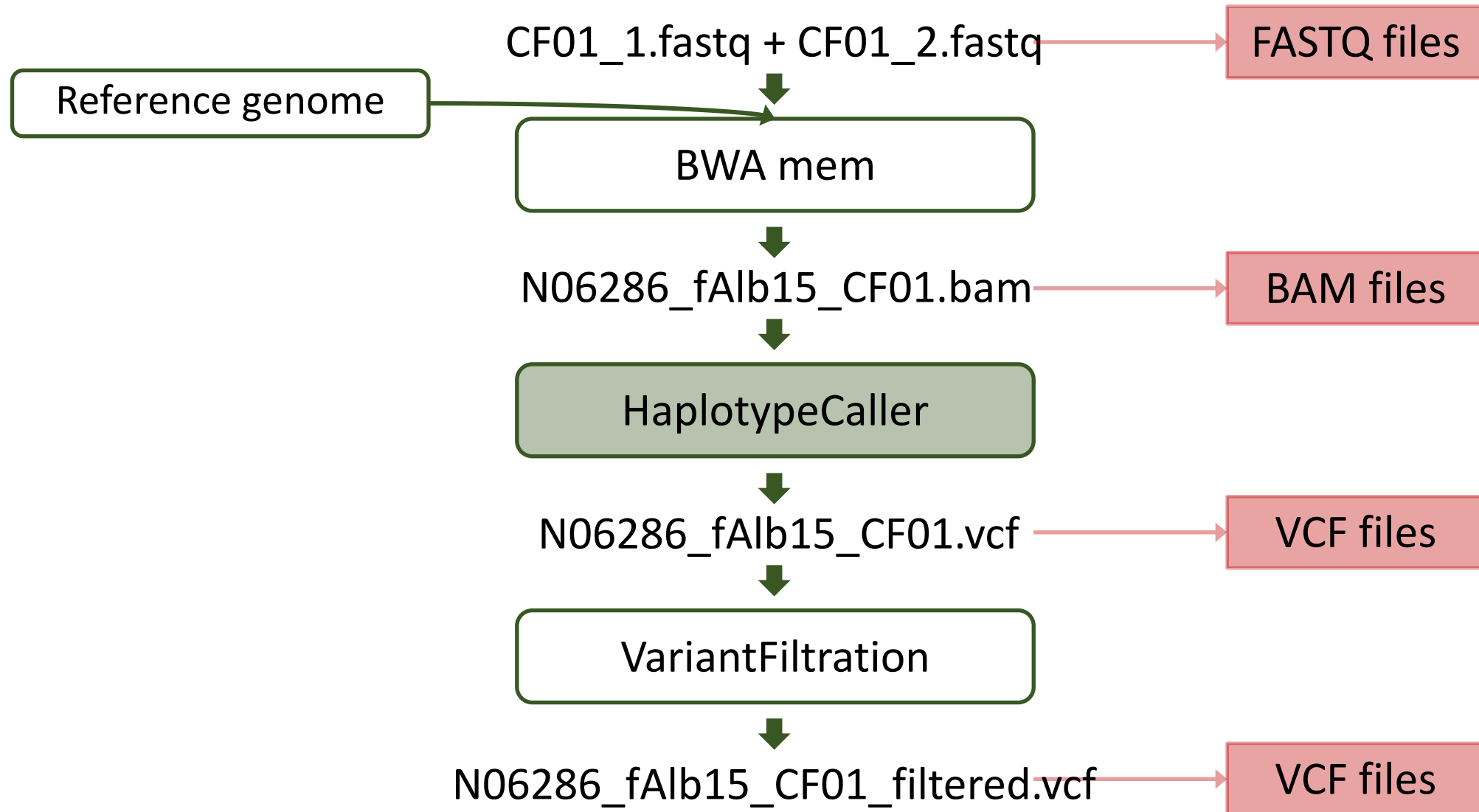
<https://gatk.broadinstitute.org>



*Best Practices for SNP and Indel discovery in germline DNA  
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# Basic variant calling workflow, one sample

---



# Detecting variants in reads

---

Reference: ACGTTTGC GTCCCGCCCGATNNNNN-----CGTAGTCGGGGTATGTAGNNGATTCTCTCAGT

Samples:

...TCGGCGTATGTGGCGGATTCTCT...

...TCGGGGTATGTAGCGGATTCTCT ...

...TCGGCGTATGTGGCGGATTCTCT...

...TCGGGGTATGTAGCGGATTCTCT ...

...TCGGGGTATGTGGCGGATTCTCT ...

...TCGGCGTATGTGGCGGATTCTCT...

...TCGGGGTATGTAGCGGATTCTCT ...

...TCGGGGTATGTAGCGGATTCTCT ...

GGGGTATGTGGCGGATTCTCT...

...TCGGGGTATGTGGCGGATTCTCT...



# Reference and alternative alleles

---

Reference: ACGTTTGC GTCCCGCCCGATNNNNN-----CGTAGTCGGGGTATGTAGNNGATTCTCTCAGT

Samples:

...TCGGCGTATGTGGCGGATTCTCT...

...TCGGGGTATGTAGCGGATTCTCT ...

...TCGGCGTATGTGGCGGATTCTCT...

...TCGGGGTATGTAGCGGATTCTCT ...

...TCGGGGTATGTGGCGGATTCTCT ...

...TCGGCGTATGTGGCGGATTCTCT...

...TCGGGGTATGTAGCGGATTCTCT ...

...TCGGGGTATGTAGCGGATTCTCT ...

GGGGTATGTGGCGGATTCTCT...

...TCGGGGTATGTGGCGGATTCTCT...

Reference allele: the allele in the reference genome

G

Alternative allele: the allele NOT in the reference genome

C

# Reference and alternative alleles

---

Reference: ACGTTTGC GTCCCGCCCGATNNNNN-----CGTAGTCGGGGTATGTAGNNGATTCTCTCAGT

Samples:

...TCGG	C	GTATGT	G	GCGGATTCTCT...
...TCGGGGTATGTAGCGGATTCTCT				...
...TCGG	C	GTATGT	G	GCGGATTCTCT...
...TCGGGGTATGTAGCGGATTCTCT				...
...TCGGGGTATGT			G	GCGGATTCTCT ...
...TCGG	C	GTATGT	G	GCGGATTCTCT...
...TCGGGGTATGTAGCGGATTCTCT				...
...TCGGGGTATGTAGCGGATTCTCT				...
GGGGTATGT			G	GCGGATTCTCT...
...TCGGGGTATGT			G	GCGGATTCTCT...

Reference allele: the allele in the reference genome

Alternative allele: the allele NOT in the reference genome

G      A

C      G

# Variant call format (VCF) file

---

- The variant call format (VCF) file consists of a header and a list of variant call records

```
##fileformat=VCFv4.2
##ALT=<ID=NON_REF,Description="Represents any possible alternative allele not already represented at this location by REF and ALT">
##FILTER=<ID=LowQual,Description="Low quality">
##FILTER=<ID=PASS,Description="All filters passed">
##FORMAT=<ID=AD,Number=R,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Integer,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=...
##GATKCommandLine= ...
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=...
##contig=<ID=N00001,length=26618703>
##source=HaplotypeCaller
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT ATL_FSP08-001_M
N00001 14 . G A 2886.43 . AC=30;AF=0.063;AN=478;BaseQRankSum=1.28;DP=1099;... GT:AD:DP:GQ:PGT:PID:PL:PS 0/0:5,0:5:15:...:0,15,134
```

# Variant call format (VCF) file

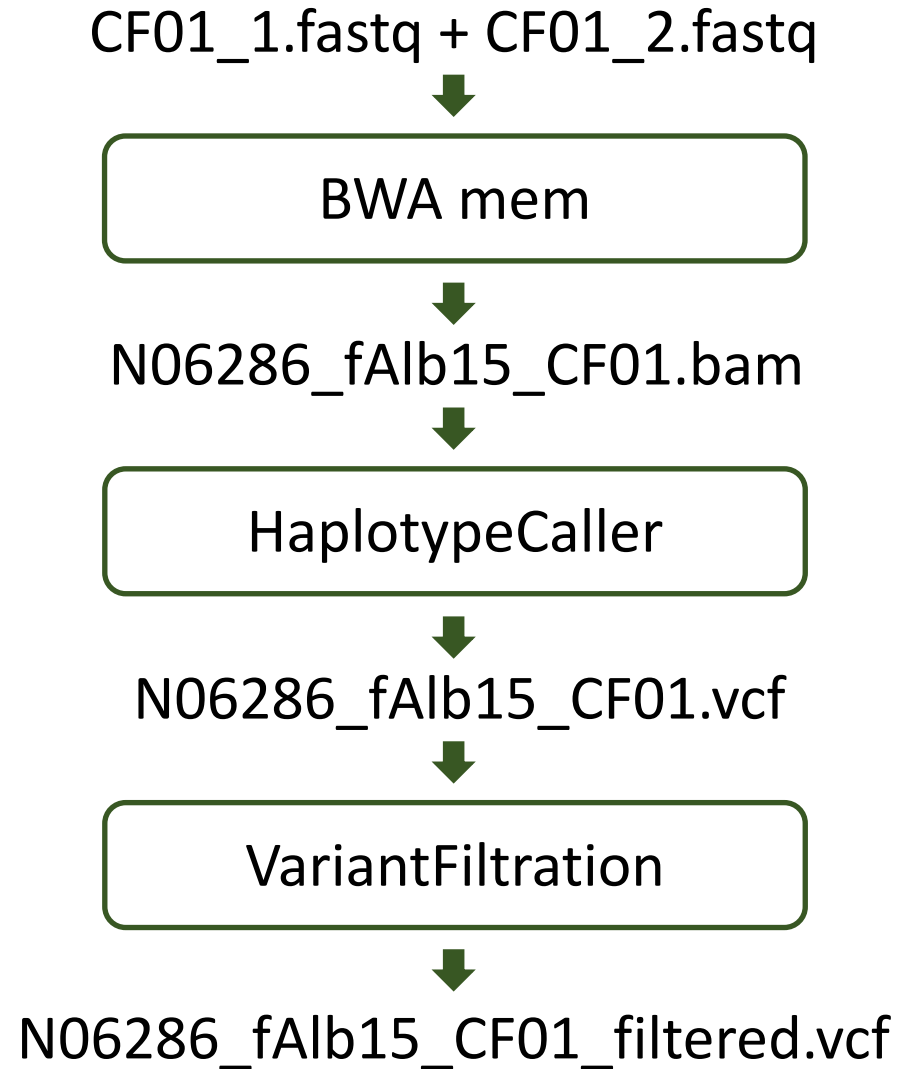
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##ALT=<ID=NON_REF,Description="Represents any possible alternative allele not already represented at this location by REF and ALT">
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##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=...
##GATKCommandLine= ...
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=...
##contig=<ID=N00001,length=26618703>
##source=HaplotypeCaller
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT CF01
N00001 14 . G A 2886.43 . AC=30;AF=0.063;AN=478;BaseQRankSum=1.28;DP=1099;... GT:AD:DP:GQ:PGT:PID:PL:PS 0/0:5,0:5:15:....:0,15,134
```

# Basic variant calling workflow, one sample

---



# Basic variant calling workflow in cohort

CF01\_1.fastq + CF01\_2.fastq

BWA mem

N06286\_fAlb15\_CF01.bam

HaplotypeCaller -ERC GVCF

N06286\_fAlb15\_CF01.g.vcf

CF02\_1.fastq + CF02\_2.fastq

BWA mem

N06286\_fAlb15\_CF02.bam

HaplotypeCaller -ERC GVCF

N06286\_fAlb15\_CF02.g.vcf

CF04\_1.fastq + CF04\_2.fastq

BWA mem

N06286\_fAlb15\_CF04.bam

HaplotypeCaller -ERC GVCF

N06286\_fAlb15\_CF04.g.vcf

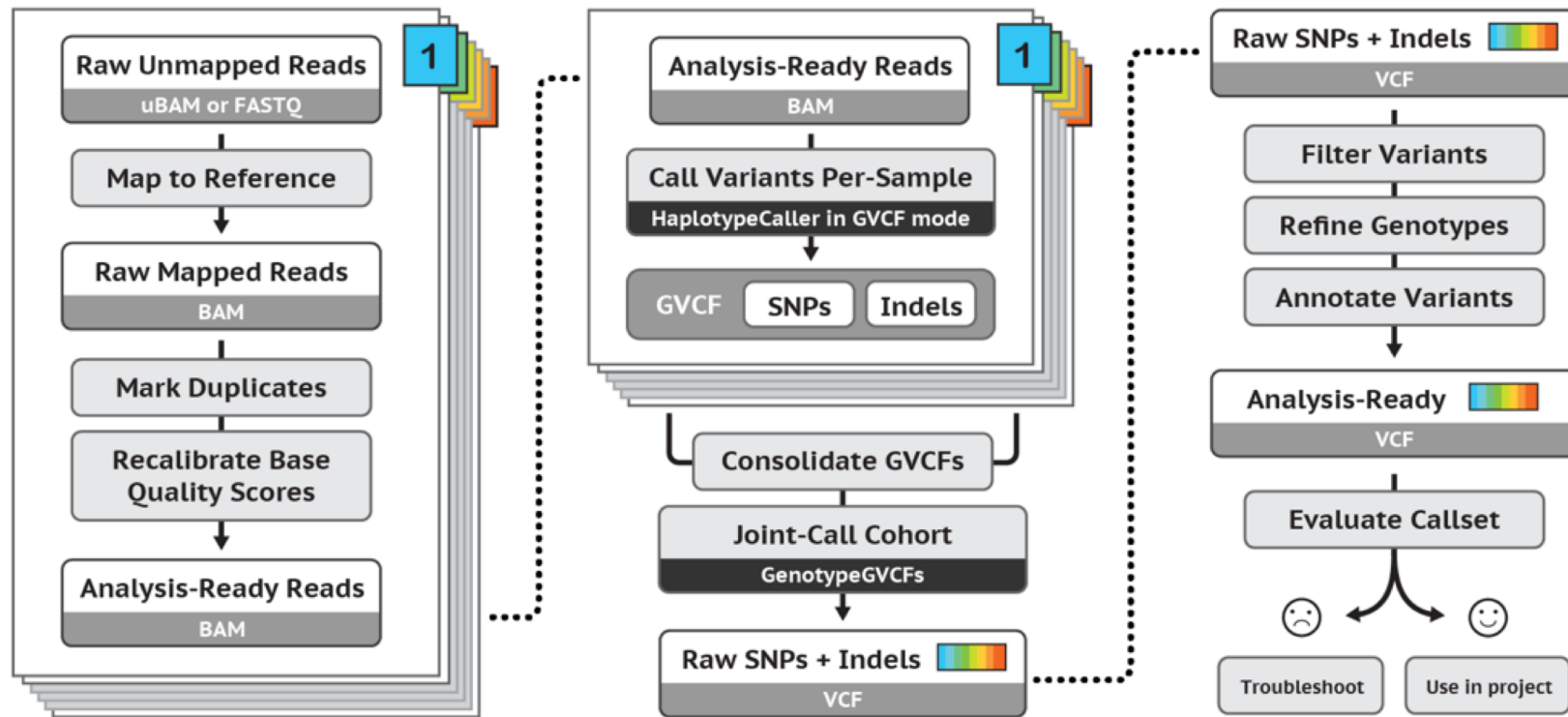
CombineGVCFs

GenotypeGVCFs

N06286\_fAlb15\_cohort.vcf

# SNV calling workflow

<https://gatk.broadinstitute.org>



*Best Practices for SNP and Indel discovery in germline DNA  
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# Basic variant calling workflow in cohort

CF01\_1.fastq + CF01\_2.fastq

BWA mem

N06286\_fAlb15\_CF01.bam

HaplotypeCaller -ERC GVCF

N06286\_fAlb15\_CF01.g.vcf

CF02\_1.fastq + CF02\_2.fastq

BWA mem

N06286\_fAlb15\_CF02.bam

HaplotypeCaller -ERC GVCF

N06286\_fAlb15\_CF02.g.vcf

CF04\_1.fastq + CF04\_2.fastq

BWA mem

N06286\_fAlb15\_CF04.bam

HaplotypeCaller -ERC GVCF

N06286\_fAlb15\_CF04.g.vcf

CombineGVCFs

GenotypeGVCFs

N06286\_fAlb15\_cohort.vcf



# Difference between a GVCF and a VCF file

---

## Regular VCF file

```
##fileformat
##ALT
##FILTER
##FORMAT
##GATKCommandLine
##INFO
##contig
##source
```

```
#record header
variant call records
```

## GVCF file

```
##fileformat
##ALT
##FILTER
##FORMAT
##GATKCommandLine
##GVCFBlock
##INFO
##contig
##source
```

```
#record header
non-variant block records
variant call records
```

- A GVCF file has records for all sites, whether there is a variant call or not
- Adjacent non-variant sites merged into blocks

# Variant call format (VCF) file for a cohort

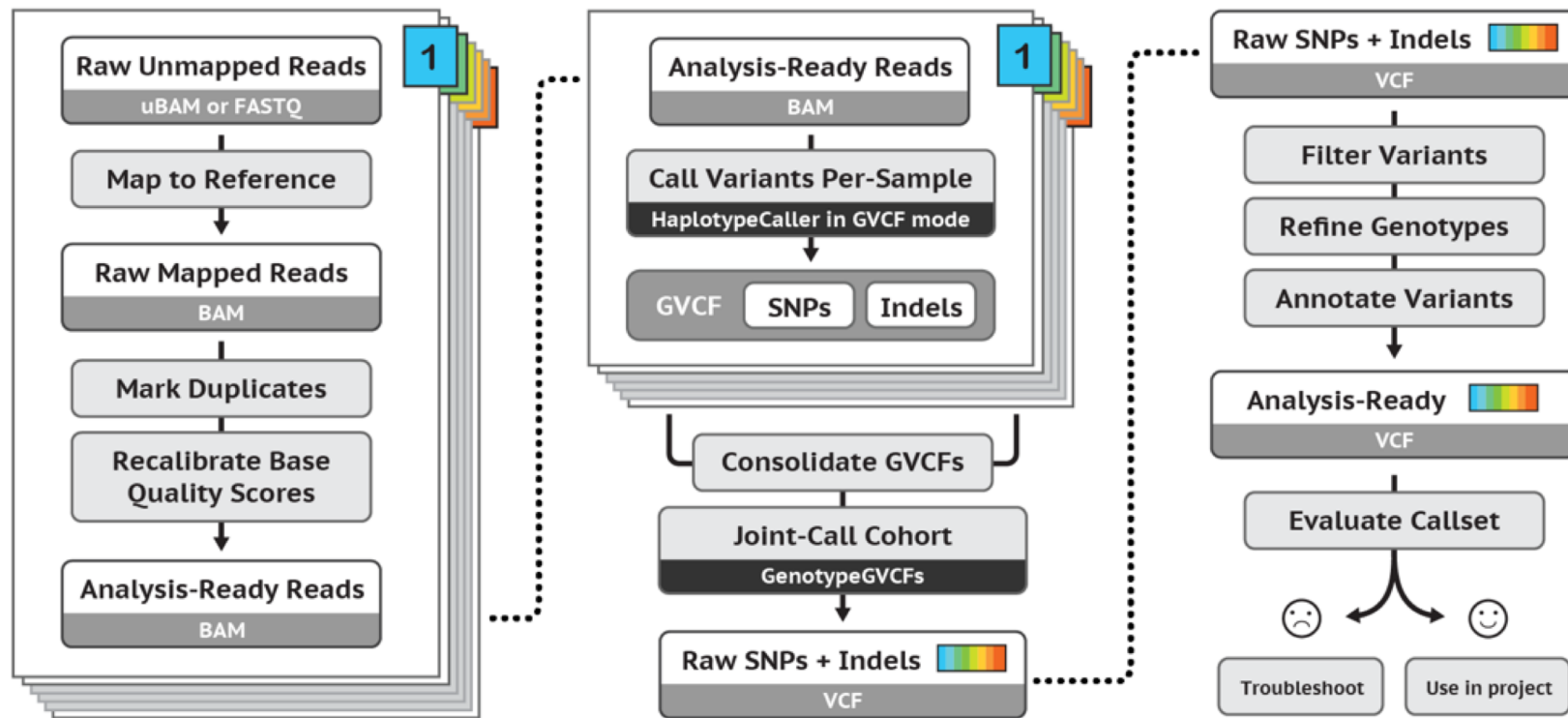
---

- The variant call format (VCF) file consists of a header and a list of variant call records

```
##fileformat=VCFv4.2
##ALT=<ID=NON_REF,Description="Represents any possible alternative allele not already represented at this location by REF and ALT">
##FILTER=<ID=LowQual,Description="Low quality">
##FILTER=<ID=PASS,Description="All filters passed">
##FORMAT=<ID=AD,Number=R,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
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##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=...
##GATKCommandLine= ...
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=...
##contig=<ID=N00001,length=26618703>
##source=GenomicsDBImport
##source=GenotypeGVCFs
##source=HaplotypeCaller
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT CF01 CF02 CF04
```

# SNV calling workflow

<https://gatk.broadinstitute.org>



***Best Practices for SNP and Indel discovery in germline DNA  
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# Variant filtering criteria

---

There are two recommended best practices for variant call filtering

- Variant quality score recalibration (VQSR)
  - VQSR is a machine learning algorithm that can be trained to recognize likely false variant calls
  - VQSR requires an input of likely true variant calls, its application is thus limited to model organisms, but recommended if possible
- GATK hard filters
  - Filters based on information contained in the VCF

<https://gatk.broadinstitute.org/hc/en-us/articles/360035531112--How-to-Filter-variants-either-with-VQSR-or-by-hard-filtering>

# GATK hard filters

---

- The variant call format (VCF) file consists of a header and a list of variant call records

```
##fileformat=VCFv4.2
##ALT=<ID=NON_REF,Description="Represents any possible alternative allele not already represented at this location by REF and ALT">
##FILTER=<ID=LowQual,Description="Low quality">
##FILTER=<ID=PASS,Description="All filters passed">
##FILTER=<ID=hard_filt,Description="QD < 2.0 || FS > 60.0 || MQ < 40.0 || MQRankSum < -12.5 || StrandOddsRatio > 3 || ReadPosRankSum < -8.0">
##FORMAT=<ID=AD,Number=R,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
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##INFO=<ID=...
##contig=<ID=N00001,length=26618703>
##source=GenomicsDBImport
##source=GenotypeGVCFs
##source=HaplotypeCaller
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT CF01 CF02 CF04
```

# Additional variant filtering criteria

---

- In addition to the basic filtering steps, filtering adjusted to the study organism is recommended
- **Remember!**
- The quality and contiguity of reference genome assemblies influence the alignment and variant calling quality
- Alignment of reads to a divergent reference genome influences the alignment and variant calling quality
- The proportion of repetitive DNA sequences in the genome influences the alignment and variant calling quality
- Structural re-arrangements, such as CNVs, among the genomes of sampled individuals and the reference genome influence the alignment and variant calling quality

# Additional variant filtering criteria

---

- Remove indels (GATK)
- Keep only mono-allelic and bi-allelic sites (GATK)
- Remove sites overlapping repetitive regions (VCFtools)
- Remove sites with extreme coverage values (VCFtools)
- Apply quality score filtering (VCFtools)
- Identify and remove sites overlapping with copy number variants
- ...

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- SNV calling workflow
  - common software and file formats
  - reference genome
  - short-read alignment
  - SNV calling
  - filtering of variant calls
- Applications in ecology and evolution



# Evolution can be seen as simply a consequence of these conditions...

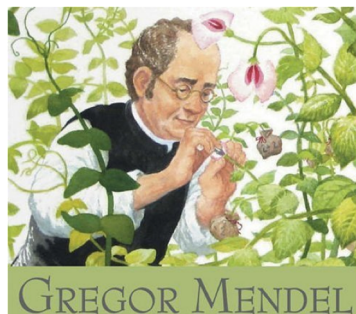
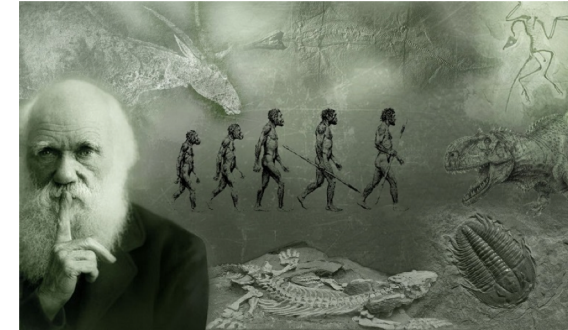


## Variation

Individuals vary in traits that govern reproduction and survival...

...and resources are not endless such that there is competition and thus selection...

## Selection



## Heritability

...and traits important to survival and reproduction are genetically controlled and inherited, then...

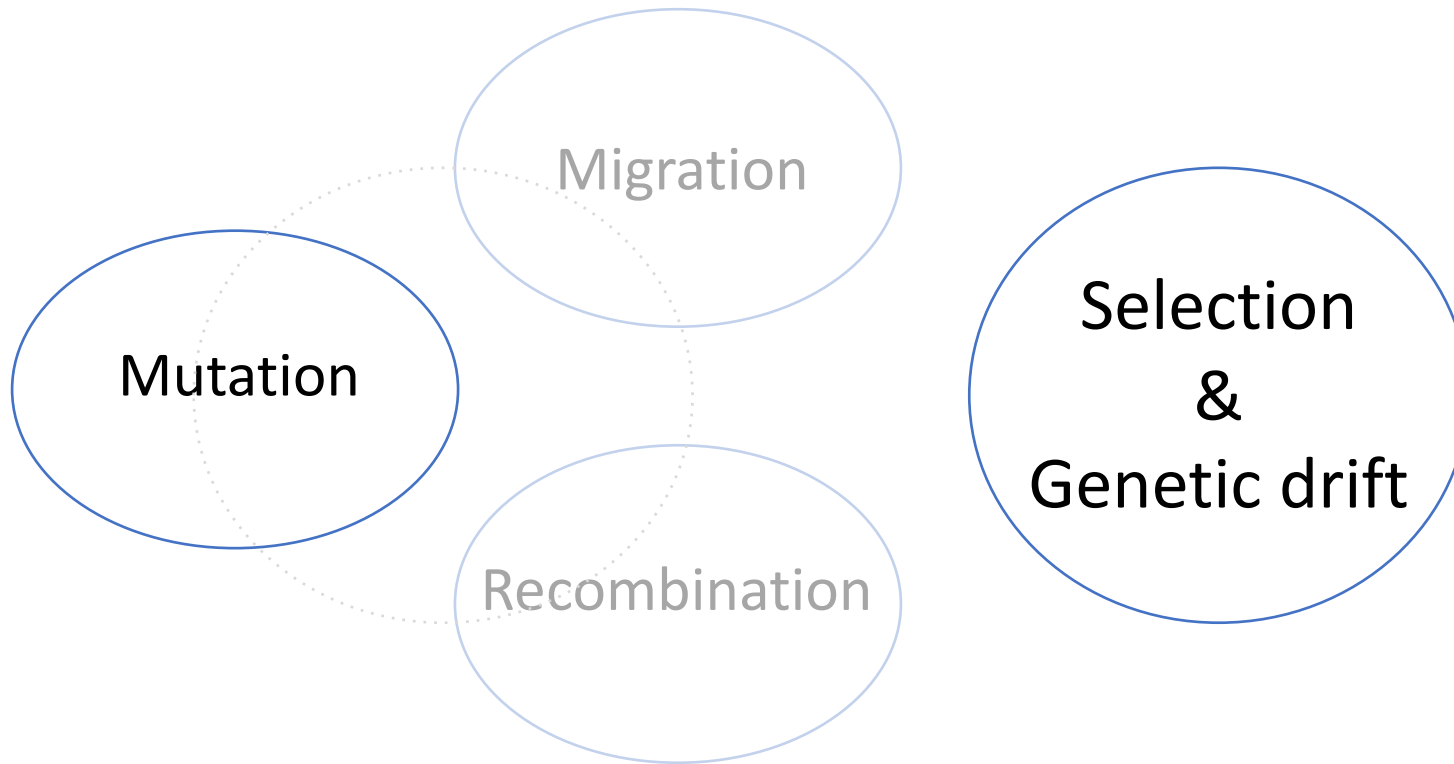
# Evolution



Genetic  
variation

Selection  
&  
Genetic drift

# Evolution



# Applications in ecology and evolution

- Central questions in evolutionary genetics
  - How are changes in the genome generated?
  - Why is the genome changing over time?

# Applications in ecology and evolution

- Central questions in evolutionary genetics
  - How are changes in the genome generated?
  - Why is the genome changing over time?

Evolution is a process influenced by

- mutation
- genetic drift
- natural selection
- demography
- recombination

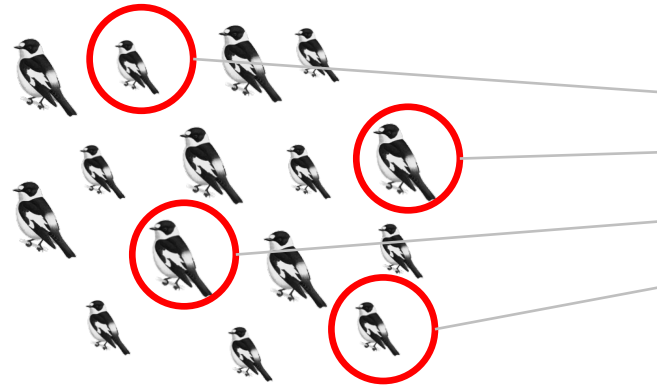


# Applications in ecology and evolution

- Central questions in evolutionary genetics
  - How are changes in the genome generated?
  - Why is the genome changing over time?

Evolution is a process influenced by

- mutation
- genetic drift
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- demography
- recombination



sequencing of a sample of individuals

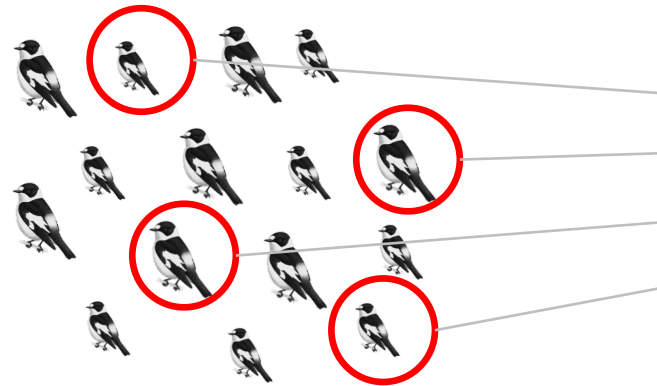
A	C	T	T	A	G	T	A
G	C	T	C	A	G	T	C
G	C	G	C	A	G	T	C
A	C	T	T	A	G	T	C

# Applications in ecology and evolution

- Central questions in evolutionary genetics
  - How are changes in the genome generated?
  - Why is the genome changing over time?

Evolution is a process influenced by

- mutation
- genetic drift
- natural selection
- demography
- recombination



sequencing of a sample of individuals

A	C	T	T	A	G	T	A
G	C	T	C	A	G	T	C
G	C	G	C	A	G	T	C
A	C	T	T	A	G	T	C



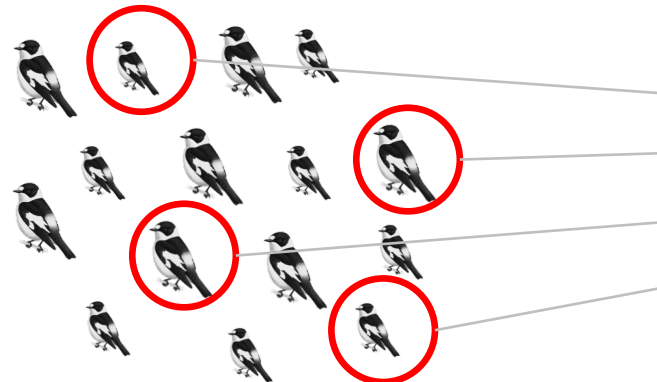
statistical inference

# Applications in ecology and evolution

- Central questions in evolutionary genetics
  - How are changes in the genome generated?
  - Why is the genome changing over time?

Evolution is a process influenced by

- mutation
- genetic drift
- natural selection
- demography
- recombination



sequencing of a sample of individuals

A	C	T	T	A	G	T	A
G	C	T	C	A	G	T	C
G	C	G	C	A	G	T	C
A	C	T	T	A	G	T	C

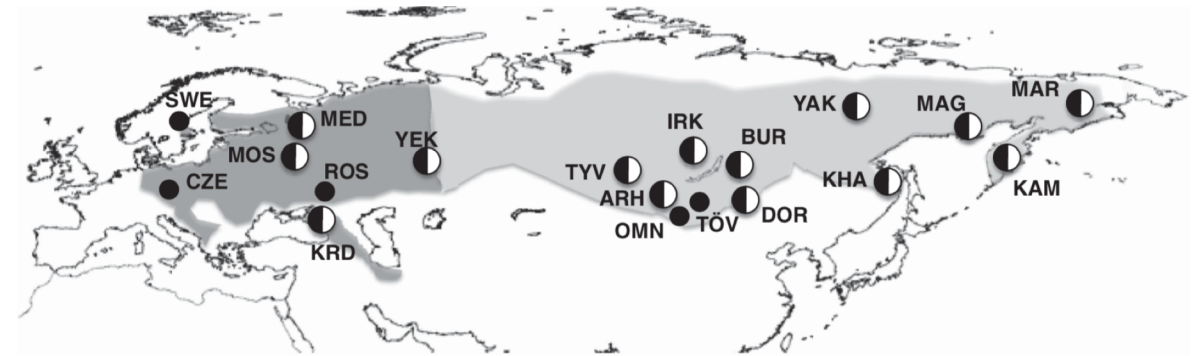
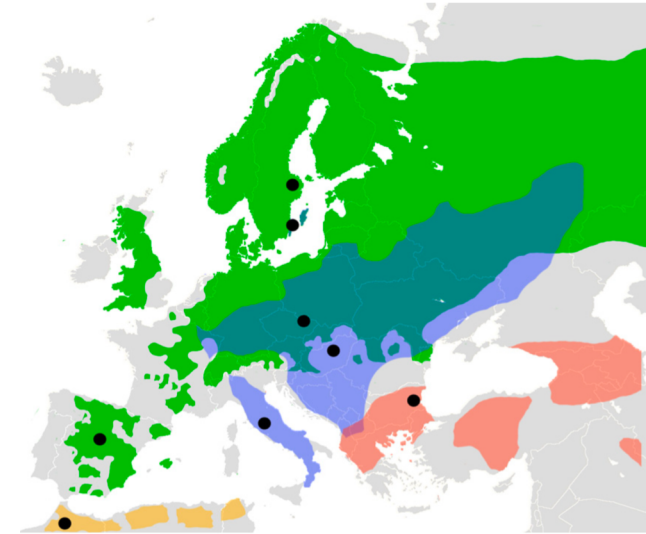
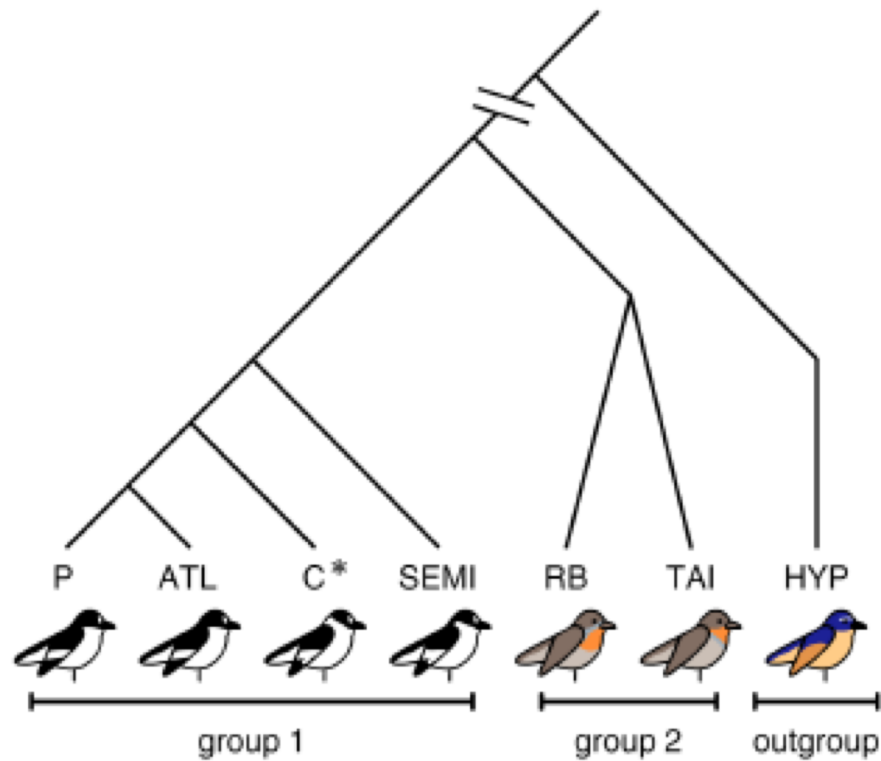
**Information is contained in allele frequency data (amongst others)**

statistical inference



# SNV calling practical - overview

- SNV calling and detection of balancing selection in *Ficedula* flycatchers



# SNV calling practical - overview

- SNV calling and detection of balancing selection in *Ficedula* flycatchers
- Perform SNV calling in a subset of *Ficedula* flycatcher individuals
  - starting from recalibrated BAM files to a filtered VCF file
- Description of genetic variation and detection of balancing selection across two selected scaffolds
- Quality assessment and interpretation of signatures of balancing selection

